



362416

# **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Post Office as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

Date: February 26, 2004

Name: Melissa Scanzillo

Signature: *Melissa Scanzillo*  
Clifford Chance US LLP

Docket No. 3499-135

## **IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: David Lawrence

Filed: October 30, 2001

Group Art Unit: 3624

Serial No: 10/021,124

Examiner: Narayanswamy Subramanian

For: RISK MANAGEMENT CLEARINGHOUSE

### **AMENDMENT TRANSMITTAL**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RECEIVED**  
MAR 08 2004  
**GROUP 3600**

Sir:

Transmitted herewith is an Amendment for the above identified application.

The fee has been calculated as shown below:

	Claims Remaining After Amendment	Highest Number Previously Paid for	Extra Claims	Fee for each extra claim	Additional Fee
Total Claims	40	21	19	\$18	342.00
Independent Claims	6	6	0	\$86	0.00
First Presentation of Multiple dependent claim				+ \$290	290.00
<b>Sub-Total</b>					\$632.00
Small Entity?				less 50%	-0.00
<b>TOTAL FEE DUE FOR AMENDMENT</b>					\$632.00



The Commissioner is authorized to charge the total fee of \$632.00 to Deposit Account No. 50-0521. A duplicate copy of this sheet is enclosed.

Please charge any additional fees for this Amendment or credit any overpayments to Deposit Account No. 50-0521. A duplicate copy of this letter is enclosed.

Date: Feb 26, 2004

Respectfully submitted,

Joseph P. Kincart

Reg. No. 43,716

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200 Park Avenue  
New York, NY 10166-0153  
Telephone: (212) 878-3289

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9/16/04

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**AMENDMENT IN RESPONSE TO  
THE NOVEMBER 26, 2003 OFFICE ACTION**

**GROUP 3600**

Sir:

In response to the Office Action mailed on November 26, 2003, favorable reconsideration of the above-identified patent application in view of the following amendments and remarks is respectfully requested.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 7 herein.

03/04/2004 DTESSEM1 00000108 500521 10021124

01 FC:1202 342.00 DA  
02 FC:1203 290.00 DA